



MINING ACT 1992

Tender for exploration licence

FORM EL3

HOW TO COMPLETE THIS FORM

This form has been created as an interactive PDF.

Users can complete and save the form electronically using Adobe Reader. Alternatively, the form can be printed and filled out by hand. Adobe Reader can be downloaded free of charge from the Adobe website: <http://www.adobe.com/au/downloads/>

NSW Trade & Investment consents to the receipt of applications electronically, including the use of electronic signatures, in accordance with the *Electronic Transactions Act 2000*.

Signature fields within this form can be filled by:

- Pasting an image of the signature into the signature field. File types, such as JPEG or TIFF, can be inserted into the field by clicking on the box and following the prompts.
- Using the Adobe digital signature functionality. For further information on this option refer to: <http://www.adobe.com/security/digsig.html>
- Printing the form and signing

Forms should be submitted as outlined in the tender documentation.

For further information on lodgement please refer to the department's website:

www.resourcesandenergy.nsw.gov.au/miners-and-explorers/applications-and-approvals

This form is to be completed by individuals and companies tendering for an exploration licence.

It has been prepared in accordance with the requirements of Section 15 of the *Mining Act 1992*.

This tender form must not be altered in any way. It can be either completed electronically using Adobe Reader (which can be downloaded free of charge from www.adobe.com.au) or printed.

Note: All instructions in the tender document must be strictly adhered to.

From 1 July 2012, all authorisations are subject to an Administration Levy and Annual Rental Fee to improve regulation and continuing provision of pre-competitive geoscience information. New requirements have also been introduced in relation to minimum security deposits. For further information on these fees and minimum security deposits please refer to:

www.resourcesandenergy.nsw.gov.au/miners-and-explorers/applications-and-approvals/fees

The department's website provides comprehensive information relating to completion of applications, methods of lodgement, departmental policies, office locations, Native Title and contact details at:

www.resourcesandenergy.nsw.gov.au/miners-and-explorers/applications-and-approvals

The service delivery standards that have been implemented for the processing of applications under the *Mining Act 1992* do not apply to applications for tender. Tender timeframes will be specified in the tender documentation. For further information on the service delivery standards please refer to

www.resourcesandenergy.nsw.gov.au/miners-and-explorers

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1. Name of Tenderer/s in full and ACN/s (if applicable):

If the tenderer/s is a foreign entity, by completing this form it is assumed they are authorised to operate and carry out business in New South Wales.

Name	
ACN (if applicable):	

Name	
ACN (if applicable):	

Name:	
ACN (if applicable):	

If there are more than three tenderers please provide information on additional tenderers on a separate sheet accompanying this application. Please include name and ACN (if applicable) of each tenderer. To indicate that there are additional tenderers please check the box below:

2. For individuals only: Are you aged 18 years or over?

The *Mining Act 1992* (Section 129) requires holders to be at least 18 years of age.

Yes

No

3. Have you (in the case of a company: any director of the company) ever contravened the *Mining Act 1992*, or the regulations, or been convicted of any other offence relating to mining or minerals?

Where the answer is `yes', you must give full details of the offence. If you have been convicted of an offence under the *Mining Act 1992*, this will be taken into consideration in making any recommendations regarding the grant of an exploration licence.

Yes

No

If yes, provide details:

If further space is required please attach an additional sheet to the back of this application.

4. Registered street address of each tenderer:

The registered street address of a tenderer is required for inclusion in the authority document and should not be a post office box. The registered street and postal address will be retained in the Titles database for future correspondence following the grant of the licence.

Name:	
Registered Street Address:	
Postal Address (if different):	

Other applicants:

Name:	
Registered Street Address:	
Postal Address (if different):	

Name:	
Registered Street Address:	
Postal Address (if different):	

5. Contact details for this tender:

Specify the name and contact details of the person to whom any notices or documents are to be served in regard to this tender only. These details will not be stored in the Titles database. All further correspondence following the grant of this authority will be to the registered office or postal address of the holder of the licence.

Contact Name:	
Position Held:	
Postal Address:	
Phone (inc. area code):	
Fax:	
Mobile:	
Email:	

- Check this box if email is your preferred method of contact for this application
Note: must be an official company email address

6. This tender is in response to the invitation for tender titled Exploration Licence Tender Area Reference:

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7. Amount tendered:

Cash reserve price (if any):	
Specified amount in addition to the cash reserve price (if any):	

8. Is this tender in respect of the whole of the land described in the invitation for the tender?

- Yes No

If NO, a description of the part of the land described in the invitation for the tender being tendered for must be provided. For further information please refer to Q10(b).

9. Payment of prescribed fee:

The prescribed fee may be paid either by cheque, credit card or direct deposit. The department accepts Mastercard/ Visa/Amex/Diners cards. Contact Titles staff at one of the department's offices if you wish to deposit funds directly to the department's account. Direct deposits will require a copy of the deposit receipt issued by the banking authority as evidence to accompany the application form.

See Schedule 10 of the *Mining Regulation 2010* which can be accessed online at: www.legislation.nsw.gov.au

Select Payment Method:

- Direct Deposit
- Cheque (*payable to NSW Trade & Investment*)
- Credit Card (see below)

Payment Amount:	
Type of Card :	<input type="checkbox"/> Mastercard <input type="checkbox"/> Visa <input type="checkbox"/> Amex <input type="checkbox"/> Diners
Cardholders Name:	
Card Number:	
Expiry Date (mth/yr):	

10. Checklist of items to be included with this tender:

Note: All instructions in the tender document must be strictly adhered to.

(a) The lodgement fee prescribed by the <i>Mining Regulation 2010</i>	<input type="checkbox"/>
(b) If this tender is for part of the land described in the invitation to tender only: A description, prepared in the approved manner, of the part of the land described in the invitation for the tender being tendered for <u>For Mineral Groups 1-8 and 11</u> Please complete the table provided in Appendix 1. <u>For Mineral Group 9 only</u> Please include a standard map (as defined in Clause 9 of the <i>Mining Regulation 2010</i>) showing the alignment of the proposed licence boundaries relative to the Map Grid of Australia co-ordinates of all the points where there is a change in direction of the boundaries of the land. The co-ordinates must be supplied in electronic format as a Microsoft Excel Spreadsheet. If applicable, details of any depth restriction, surface exception or strata sought must be provided and shown on the map. The table in Appendix 2 must also be completed. Depth restriction sought - means depth to which you require the licence to extend, with a maximum depth of 900 metres below Australian Height Datum (AHD). Depth of surface exception in metres - means area of surface and soil below the surface not applied for. Strata sought - means soil below any two specified depths or a coal seam, with a maximum depth of 900 metres below AHD.	<input type="checkbox"/>

(c) Particulars of the financial resources available to the tenderer

Particulars of financial resources must be provided in either the form of:

- a) a certificate issued by a member of CPA Australia or the Institute of Chartered Accountants in Australia (including their membership number), or
- b) a statutory declaration,

Stating that the tenderer has sufficient financial resources at the time of lodgement to meet the financial commitments on all the tenderers authorities and authority applications.



(d) Particulars of the relevant technical advice available to the tenderer

List details of persons or organisations providing technical advice. The name and contact details of the person who will be responsible for the supervision of prospecting operations and for the preparation of exploration reports (technical manager) *must* be provided. The qualifications and experience of the technical manager must be supplied. If the technical manager is not an employee of the applicant, acceptance of the role must accompany this tender. **It is expected that the technical manager will be a qualified geoscientist with mineral exploration experience.**



(e) Particulars of the program of work proposed to be carried out by the tenderer in the proposed exploration area

The proposed exploration program of work must contain sufficient detail to satisfy the department that the full area applied for will be effectively explored for the duration of the period sought. It should include a year by year summary outlining area selection rationale, objectives and exploration activities. The following headings and descriptions should be used:

For Mineral Groups 1-8 and 10-11:

Objectives - to include a brief description of anticipated outcomes or advances made to the project on conclusion of the program.

Exploration activities - description of proposed exploration activities. Should show conformity with objectives and be clearly scheduled over a minimum two year period.

For Mineral Group 9:

Objectives - to include a brief description of anticipated outcomes or advances made to the project on conclusion of the program.

Exploration activities - detailed description of all proposed exploration activities including a map showing the area/s of each proposed activity.



(f) Particulars of the estimated amount of money that the tenderer proposes to spend on prospecting in the exploration area

A statement providing an estimate of the expenditure expected to be incurred to conduct the proposed program of work at (e) above.

The information below is a guide for calculation of minimum expenditure requirements:

Guide to Minimum Expenditure Requirements for Exploration Licences

Area	Grant
1 Unit *	\$5,000 p/a for all groups
2 to 6 Units	Group 1: \$2,000 plus \$3,000 per unit p/a Groups 2, 5 & 10: Half the Group 1 expenditure applied. Other Groups: At discretion of Exploration Titles Committee
Greater than 6 Units	Group 1: \$20,000 plus \$500 per unit p/a Groups 2, 5 & 10: Half the Group 1 expenditure applied. Other Groups: At discretion of Exploration Titles Committee

* See Clause 10 of the *Mining Regulations 2010* for a description of the term "unit".

Note: *The Exploration Titles Committee (ETC) retains the discretion to vary the expenditure requirements for individual licences.*



(g) The environmental performance record (as defined in Clause 4 of the *Mining Regulation 2010*) of the tenderer/s.

Clause 4 defines environmental performance record as:

(1) The following information is prescribed as the **environmental performance record** of the relevant person for the purposes of making an application under this Regulation:

(a) details of any conviction under environmental protection legislation or other relevant legislation in the five years immediately before the application is made, of:

(i) the person, and

(ii) if the person is a natural person --any corporation of which the person was a director at the time of the offence leading to that conviction, and

(iii) if the person is a corporation --each director of the corporation, any related corporation of the corporation and any other corporation of which a director was also a director at the time of the offence leading to that conviction,

(b) details of any of the following approvals under environmental protection legislation or other relevant legislation if the approval has been revoked or suspended in the five years immediately before the application is made:

(i) any approval held by the person,

(ii) any approval held by a corporation of which the person was a director at the time of the revocation or suspension,

(iii) if the person is a corporation --any approval held by a related corporation of the corporation and any other corporation of which a director of the person was also a director.

(2) In this clause, **approval** includes consent, licence or permission or any form of authorisation.

(h) Other information as specified in the tender invitation

11. Certification

This form should be signed by the tenderer/s (individuals) or an authorised representative of the tenderer/s company/s, or the agent who is authorised to act on behalf of the tenderer/s.

I/We certify that the information provided in this application is true and correct. Note: It is an offence under Section 378C of the *Mining Act 1992* to provide false or misleading information.

Click within signature box to insert image

Name:	
Position / Title:	

Signature:	
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Other applicants:

Name:	
Position / Title:	

Signature:	
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Name:	
Position / Title:	

Signature:	
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Or agent authorised to act for this applicant/s:

Name:	
Position / Title:	

Signature:	
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Applications must be lodged with the Secretary.

For information on methods of lodgement refer to the front page of this form or www.resourcesandenergy.nsw.gov.au/miners-and-explorers/applications-and-approvals

OFFICE USE ONLY

Application received:

Time:		am/pm	Date:	
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Fee:		Amount:	\$1,000 (Groups 1-8 & 10) \$2,200 (Group 9)
Receipt no:		WBS:	D1655-1
		GL:	Z4014
		Amount:	\$
		WBS:	D152-17 (coal) D152-19 (other)
		GL:	Z4010

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Received under delegation from the
Secretary.

For credit cards:

Following confirmation of payment remove the first eight (8) digits of the credit card number from this form. Ensure that any saved copy does not include full credit card details.

PRIVACY STATEMENT

This information is collected by the Department of Trade and Investment, Regional Infrastructure and Services (NSW Trade & Investment) for the purposes of assessing an application for an authorisation or associated with an authority as required by the *Mining Act 1992* or *Mining Regulation 2010*.

This information may also be used by the department to confirm applicant details in the event that subsequent applications are made, and may also be used to establish and maintain databases to assist the department with its work generally.

Except for purposes required by law, the information will not be accessed by any third parties in a way that would identify the person without the consent of that person.

You may apply to the department to access and correct any information the department holds if that information is inaccurate, incomplete, not relevant or out of date.

APPENDIX 2 - DETAILS OF ANY DEPTH RESTRICTION, SURFACE EXCEPTION OR STRATA SOUGHT (IN ADDITION TO MAP)

Total Area Sought m² ha km²

Surface Area Required m² ha km²

Surface Exception (metres)

Depth Restriction (metres)
- other than the maximum 900m
below AHD

Strata sought
(eg. the strata between the base
of the black seam to the top of
the white seam)