



Racing NSW
Level 7, 51 Druitt Street
Sydney NSW 2000
Ph: (02) 9551 7500

**LICENCE APPLICATION
BOOKMAKER COMPANY**

Issued in accordance with the Thoroughbred Racing Act 1996, including but not limited to Section 14A.

Section 1

NAME OF COMPANY (refer conditions of Licence, No 13).....

ADDRESS OF COMPANY.....

POSTAL ADDRESS (if different to above).....

ABN No..... ACN

PHONE..... FAX..... EMAIL.....

Director's names (print names - refer attached list A):

NOTE: Directors must hold individual bookmakers licences

1. * Managing Director.....
2. Director.....
3. Director.....
4. Director.....

Shareholders names (print names - refer attached list B)

NOTE: Shareholders must be accredited by Racing NSW

	Nominating Director	Relationship to Shareholder
1.....
2.....
3.....
4.....
5.....
6.....
7.....
8.....
9.....
10.....
11.....
12.....

* Company representative; person responsible for correspondence, directions and company operations.

Section 2: Conditions of Licence

In the event of such Licence being granted, we, the Directors agree:

1. To observe and be bound by Race Club by-laws and Track Regulations, Association Regulations and Rules of Racing of Racing NSW/ACTRC in force from time to time during the currency of such licence and also such directions as may from time to time be made or given by the Committee, Stewards or officials of the Club.
2. That the Licence may be revoked at any time by Racing NSW without giving any reason in accordance with the Rules of Racing.
3. Not to make any public statement or comment concerning any matter currently the subject of investigation or inquiry before the Stewards or Committee.
4. We acknowledge that we are personally bound by the Rules of Racing. Any corporation of any company for whatever reason shall not exempt us from our personal liability under the Rules of Racing.
5. To pay all fees due.
6. To furnish, at the conclusion of every race meeting at which I operate, a certificate stating the gross amount of all bets made with the bookmaker company in respect of such meeting, and to furnish with the Office of Racing a certificate stating the gross amount of all bets made and the gross margin on all bets made.
7. To make and keep, in respect of each race meeting at which the bookmaker company operates, a true record of all bets and to retain a legible copy of the same for a period of five (5) years from the date of the meeting to which the same relates, and provide a copy to the stewards at the completion of such race meeting or such earlier time as the stewards may direct.
8. To authorise the Board, or its authorised agent or the Office of Racing to inspect any documents in connection with the bookmaker company activities.
9. To maintain and keep a wages book, or other record, in which is set out the gross amount earned and net amount paid (with details of all deductions and holiday pay) each week to each member of staff for the term of the licence.
10. To adhere to all Racing NSW directions and policies concerning the operation of my bookmaking business, including but not limited to the list of Racing NSW policies relating to Bookmakers and betting as displayed on the Racing NSW website www.racingnsw.com.au as amended.
11. To apply to Racing NSW in writing (or complete the appropriate form) if the bookmaker company seeks:
 - to appoint a new director
 - to make any alteration in the shareholdings in the company
12. To advise the Board in writing (or complete the appropriate form) if:
 - a director (in the bookmaker company) dies or resigns, or
 - a shareholder (in the bookmaker company) dies or disposes of shares in the bookmaker company.
13. A bookmaker company may only trade or operate under a name which is registered with the Department of Fair Trading and approved by Racing NSW. Such approved name shall be

displayed at all times on the Bookmakers stand, betting sheets, betting tickets, activity return forms and any other correspondence during the term of such licence.

14. That the bookmaker company structure is compliant with the Thoroughbred Racing Board Act 1996, not restricted to but including Section 14 A, and to advise Racing NSW in writing if anything material changes in relation to this Act.

Unless otherwise authorised by Racing NSW, the following shall apply to a Bookmaker Company:-

15. The number of directors at any one time shall not exceed four (4)
The number of shareholders at any one time shall not exceed twelve (12)
16. The directors must hold a minimum stake in the company
 - as individuals 5%
 - collectively 51%
17. At any race meeting at which a bookmaker company is fielding, a director of the company is to be on the bookmakers stand at all times. However with approval from the Board a licensed Bookmakers Clerk may operate in the absence of a Director. Applications in writing, accompanied by the appropriate guarantees, outlining the circumstances preventing a director being present must be lodged with the Board by 10.00am on the day preceding the race meeting'
18. The level of bookmakers guarantee required for a bookmaker company shall be assessed at the time of application by Racing NSW, in consultation (where applicable) with the NSW Bookmakers Co-Operative Ltd, and reviewed on an as needs basis
19. Applications for a bookmaker company to operate at more than one race meeting on the same day, or on more than one stand within the same betting ring will be considered, provided that the company has:-
 - the appropriate number of directors (refer 17 above)
 - the appropriate level of guarantee (refer 18 above)

SECTION 3: Financial Details (Confidential Report)

Please detail below company records only (not individual director's assets)

Please complete all sections (write nil where applicable)

Supporting documents to be provided i.e. bank statements (min 6 months), title deeds and /or mortgage details etc)

1	Company Assets:		
	Credit Accounts at Bank		
	Bank and Branch		
	Account Number	Balance	\$
2.	Bank and Branch		
	Account Number	Balance	\$
Real Estate – Owned outright or in which an interest is held (where an interest is held full details to be supplied)			
1.	Address	Value	\$
2.	Address	Value	\$
3.	Address	Value	\$
Other Assets			
Owned outright or in which an interest is held (where an interest is held full details to be supplied)			
	Details	Value	\$
		Value	\$
		Value	\$
TOTAL ASSETS			\$
Liabilities			
(For which the company is directly or may be jointly liable)			
	Details	Amount	\$
		Amount	\$
		Amount	\$
		Amount	\$
TOTAL LIABILITIES			\$
NET ASSETS			\$

THE DIRECTORS OF THE BOOKMAKER COMPANY REGISTERED AS:
 (NAME OF COMPANY).....

LIST A

Managing Director	Mr Mrs Ms	Surname	Given Names	% of interest held in company	Residential Address	Post Code	D.O.B	Signature
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Director	Mr Mrs Ms	Surname	Given Names	% of interest held in company	Residential Address	Post Code	D.O.B	Signature
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Director	Mr Mrs Ms	Surname	Given Names	% of interest held in company	Residential Address	Post Code	D.O.B	Signature
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Director	Mr Mrs Ms	Surname	Given Names	% of interest held in company	Residential Address	Post Code	D.O.B	Signature
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THE SHAREHOLDERS OF THE BOOKMAKER COMPANY REGISTERED AS
(NAME OF COMPANY).....

LIST B

Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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(NAME OF COMPANY).....

LIST B

Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Ms Mrs Mr	Surname	Given Names	% of interest held in company	Residential address	Post Code	DOB	Signature
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Privacy (disclosure) notice:

The Principal Racing Authorities **collect** information about you when you submit this Application and in the course of related enquiries made of third parties for the purposes of the Thoroughbred Racing Act 1996 (NSW). The Principal Racing Authorities will use that information to assess your application and, if registration is approved, your ongoing status as a licensed person. To do that, the Principal Racing Authorities may **disclose** your information to third parties such as your employers (past, present or prospective), other racing bodies, appeal bodies, wagering service providers, industry associations and government enforcement agencies if the Principal Racing Authorities believe that the disclosure is reasonably necessary for your ongoing status as a licensed person or to enable PRAs to fulfil their regulatory responsibilities and/or promote and protect the integrity of the sport to ensure compliance with the Rules of Racing. You do not have to supply the information requested in this application, but if the information (or any part of it) is not provided your application may be rejected. You can gain access to and request that corrections be made to information held about you by the Principal Racing Authorities. By completing and submitting this application, you authorise the Principal Racing Authorities to collect, use and disclose information about you for the purposes described in this notice, including the usual publication of such information in race books, racing calendars and other publications that can include (but are not limited to) websites.

Probity checks - All new licence applicants (and bookmaker company shareholders) are subject to probity (criminal record) checks. Existing licencees (and bookmaker company shareholders) may also undergo probity checks.

The information contained in these records may lead to the application being refused.

I certify that to the best of my knowledge and belief the particulars as outlined in this application are true and correct. I also declare that I understand that it is a serious offence under the Rules of Racing to make a false declaration and that failure to accurately answer these questions may lead to this application being refused.

The Directors, for themselves and on behalf of the shareholders of the Company, HEREBY AGREE to be bound by the Rules of Racing of Racing NSW, the conditions of licence , Racing NSW directions and policies, and the Thoroughbred Racing Act 1996.

Dated.....

Signed (Managing Director).....

Print name.....

Signed (Director).....

Print name.....

Signed (Director).....

Print name.....

Signed (Director).....

Print name.....