

APPLICATION FOR THOROUGHBRED LICENCE REQUIREMENTS 2016/2017

THE DIRECTOR OF RACING MAY GRANT ANY LICENCE FOR SUCH PERIOD AND UPON SUCH CONDITIONS AS DEEMED FIT. ANY LICENCE MAY BE SUSPENDED, CANCELLED OR REFUSED BY THE DIRECTOR.

APPLICANTS SHOULD BE AWARE THAT LODGING THE APPLICATION FORM AND PAYING THE APPLICABLE FEE DOES NOT MEAN THAT YOU ARE LICENSED FROM THAT TIME. YOUR APPLICATION MUST BE APPROVED BY THE DIRECTOR AND PROCESSED BY ORI BEFORE YOUR LICENCE BECOMES ACTIVE. YOU WILL BE NOTIFIED BY ORI FOLLOWING APPROVAL OF YOUR APPLICATION.

Standard processing timeframe is 7 – 10 days for correctly submitted applications unless the applicant is to be interviewed by the Director.

The below listed Tasracing licensing requirements must be read carefully prior to completing an application for licence.

PART A - LICENSING REQUIREMENTS

GENERAL INFORMATION APPLICABLE TO ALL LICENCE CATEGORIES

- 1. Applicants for each category of licence should obtain a copy of the Rules of Racing.*
- 2. All applicants will be issued with documentation to allow capture of a photograph for licence identification purposes.*
- 3. First time applicants, over the age of eighteen (18) years, applying to be licensed in any category, other than trainer, jockey, apprentice jockey or trial rider are required to submit a Statutory Declaration with respect to personal criminal history information which includes details of any previous convictions, any matters which are currently before a Court of Law and any other offence which may be dealt with in a Court of Law.*
- 4. NATIONAL CRIMINAL HISTORY RECORD CHECK**
For persons over the age of eighteen (18) years a current National Criminal History Record Check must accompany an application for licence if –
 - a. Applying to be licensed as a trainer, jockey, apprentice jockey or trial rider if not previously provided within two (2) years of the date of the application.*
 - b. Returning from a period of disqualification, if not previously provided within two (2) years of the date of the application.*
 - c. When the Director has reasonable cause to require any person to submit a criminal record check.*

NB: For the purpose of Item 4, if a Criminal History Report has not previously been provided within two (2) years of the date of the application, currency shall be defined as having a date of issue no more than 3 months previous to the application being lodged.

Trainer A

The holder of a *Trainer A* licence may train any registered thoroughbred horse.

Trainer A applicants must have held

- An Open Permit licence (or the equivalent interstate racing jurisdiction level) for at least two consecutive years directly preceding the application.
- If previously licensed at this level, must have held that licence for not less than five (5) years and been licensed not more than three (3) years previous to this application.
- Confirmed licensing of an equivalent level with an interstate racing jurisdiction can be used to evaluate an application

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers. Further information is available on licence application forms.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI or any other racing jurisdiction)
- Submitted one (1) written reference from a person currently licensed at Trainer A level.
- Provided three (3) credit references from racing suppliers.
- Provided financial statements for the preceding six (6) month period
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Submitted a current National Criminal Record Check if required. (See above listed requirements)
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- Made payment of ATA Public Liability Insurance Policy premium or provided a Certificate of Currency of other equivalent policy.
- For a licence renewal, submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing, if not previously provided.
- For new applicants, completed the training and submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing within twelve (12) months of being licensed.
- Provided GST and EFT Details.
- Submitted Sensitive Information declaration.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades, interstate or overseas applicants or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Trainer – Open Permit

The holder of an *Open Permit* licence may train any registered thoroughbred horse.

Open Permit applicants will be assessed in relation to their competency to train for the public; must have held in the period directly preceding the application –

- A stable employees permit for at least three consecutive years; or
- A Stable Foreman licence for at least two consecutive years; or
- An Owner/Trainer licence for at least one year; or
- A Jockey licence; or
- A Harness Trainer's licence for a minimum of five (5) years.
- If previously licensed at this level, must have held that licence for not less than three (3) years and been licensed not more than three (3) years previous to this application.
- Confirmed licensing of an equivalent level with an interstate racing jurisdiction can be used to evaluate an application.

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers. Further information is available on licence application forms.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI or any other racing jurisdiction)
- Submitted one (1) written reference from a person currently licensed at Trainer A or Open Permit level.
- Provided three (3) credit references from racing suppliers.
- Provided financial statements for the preceding six (6) month period.
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Provided evidence of previously held non-thoroughbred licence (if applicable).
- Submitted a current National Criminal Record Check if required. (See above listed requirements)
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- Provided a list of prospective horses and owners.
- Made payment of ATA Public Liability Insurance Policy premium or provided a Certificate of Currency of other equivalent policy.
- For a licence renewal, submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing, if not previously provided.
- For new applicants, completed the training and submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing within twelve (12) months of being licensed.
- For new applicants, provided evidence of a current Level I First Aid certificate attained by the applicant.
- Satisfactorily completed the Trainer's questionnaire. (If not previously licensed as a trainer).
- Provided GST and EFT Details.
- Submitted Sensitive Information declaration.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades, interstate or overseas applicants or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Owner-Trainer

The holder of an *Owner/Trainer* licence may only train registered thoroughbred horses owned or leased by the owner solely or in partnership with his or her spouse, de facto spouse, their children over 18 years, father, mother, sisters, and brothers. Owner/Trainers are not permitted to train for syndicates.

Owner/Trainer applicants must have held in the period directly preceding the application –

- A stable employees licence, a Stable Foreman licence or a Pre-Trainer's licence for at least one year; or
- A Jockey licence; or
- A Harness Trainer's licence for a minimum of one (1) year.
- If previously licensed at this level, must have held that licence for not less than two (2) years and been licensed for not more than three (3) years previous to this application.
- Confirmed licensing of an equivalent level with an interstate racing jurisdiction will also be used to evaluate an application.

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers. Further information is available on licence application forms.

Licences are issued at the discretion of the Director of Racing to a person who has: -

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI or any other racing jurisdiction)
- Submitted one (1) written reference from a currently licensed trainer.
- Provided evidence of previously held non-thoroughbred licence (if applicable).
- Been granted a clearance from the Principal Club or controlling body where previously licensed, if applicable.
- Submitted a current National Criminal Record Check if required. (See above listed requirements)
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- Made payment of ATA Public Liability Insurance Policy premium or provide Certificate of Currency of other equivalent policy.
- For a licence renewal, submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing, if not previously provided.
- For new applicants, completed the training and submitted a Certificate III in Racing – RGR 30208 or equivalent as approved by Tasracing within twelve (12) months of being licensed.
- For new applicants, provided evidence of a current Level I First Aid certificate attained by the applicant.
- Satisfactorily completed the Trainer's questionnaire (if not previously licensed as a trainer).
- Provided GST and EFT Details.
- Submitted Sensitive Information declaration.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Pre-Trainer

The holder of a *Pre-Trainer* licence may break in, prepare and educate a registered thoroughbred horse prior to the horse being sent to a trainer for official training and/or racing. A Pre-Trainer may not trial or nominate any horse for racing.

Pre-Trainer applicants must be or have been in the period directly preceding the application –

- A registered Stablehand for a minimum of one (1) year; or
- Be or previously have been a registered Stable Foreman for a minimum of one (1) year; or
- Hold or previously have held a Harness Trainer's licence for a minimum of one (1) year; or
- Have experience in equine pursuits (in a non-licensed capacity) for a minimum of five (5) years; or
- Previously held a Jockey A licence; or
- Previously held an interstate or overseas thoroughbred Trainer licence; or
- Previously held a Tasmanian Trainer licence.

NB: Trainers must ensure that, at all times, they have the appropriate level of insurance for all persons undertaking activities in their establishment, including both paid and voluntary workers. Further information is available on licence application forms.

Licences are issued at the discretion of the Director of Racing to a person who has:-

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (If not previously provided to ORI or any other racing jurisdiction)
- Made payment of ATA Public Liability Insurance Policy premium or provide Certificate of Currency of other equivalent policy.
- Submitted one (1) written reference from a currently licensed trainer.
- Submitted a current National Criminal Record Check if required. (See above listed requirements)
- For a licence renewal, submitted a Statement of Attainment for the following units if not previously provided.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, completed the training and submitted a Statement of Attainment for the following units within six (6) months of being licensed.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, provided evidence of a current Level 1 First Aid certificate attained by the applicant.
- Submitted Sensitive Information declaration.
- Undergone a stable inspection to the satisfaction of Stewards. NB: This will be conducted following a successful interview with the Director of Racing.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

Jockey A

The holder of a *Jockey A* licence may ride at any race meeting, trial, jump out or trackwork held within the State of Tasmania.

A *Jockey A* licence is issued at the discretion of the Director of Racing to a person who has:

- Completed an approved Apprenticeship.
- Lodged Statement of Attainment for Certificate IV in Racing (Jockey) (RGR40208) or other equivalent evidence as approved by Tasracing.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a current National Criminal Record Check if required. (See above listed requirements)
- Submitted a full medical examination report showing appropriate fitness to ride. The Director reserves the right to request a medical report from an applicant at any time if considered necessary.
- Submitted Next of Kin details on the prescribed form.
- Submitted Emergency Medical Information on the prescribed form.
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury. (not applicable for licence renewal)
- Completed a satisfactory annual Baseline Concussion Test.
- Submitted a Betting Information Declaration form.
- Provided GST and EFT Details.
- Submitted Sensitive Information declaration.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Panel).
- ***If currently licensed as a Jockey by another Australian jurisdiction*** - only personal details, Next of Kin and Emergency Medical Information is required to be submitted on the prescribed form in accordance with the National Jockey Licence Policy.
- If applicable, fulfil international applicant requirements.
- For a licence renewal, must have ridden in a minimum of 10 races over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.
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Victorian Licensed Jumps Jockey A : Persons holding this category of licence with Racing Victoria Limited may apply for a licence in Tasmania and be permitted to ride in trials, jump outs and trackwork and in any races excluding Group or Listed.

Victorian Licensed Jumps Jockey B: Persons holding this category of licence with Racing Victoria Limited may apply for a licence in Tasmania and be permitted to ride in trials, jump outs or trackwork held within the state of Tasmania.

Apprentice Jockey

The initial application to have a person indentured as an Apprentice Jockey must be submitted to Tasracing for consideration by the Apprentice Jockey Panel which includes representatives from Tasracing and Racing Services Tasmania.

Once approved by the Apprentice Jockey Panel, applicants must ensure that the following has been provided to or undertaken with ORI: –

- Full medical examination report showing appropriate fitness to ride, if not previously submitted to ORI for the current racing season. The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary.
- Previous riding history of applicant, if applicable.
- For persons over the age of eighteen (18) years, submitted a current National Criminal Record Check if required. (See above listed requirements)
- Clearance from Principal Racing Authority where last licensed, if applicable.
- Next of Kin and Emergency Medical Information on the prescribed form.
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury.
- Completed a satisfactory annual Baseline Concussion Test.
- To be indentured must have ridden trackwork for the applying trainer for a minimum of six (6) months and submitted a Statement of Attainment for the following units:
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- To commence riding in official trials must have completed at least twenty (20) satisfactory jump-outs over a three month period and submitted a Statement of Attainment for the following units:
 - RGRPSH306A Develop Basic Track work Riding Skills
 - RGRPSH307A Exercise Horses in Pacework
 - RGRPSH404A Ride Horses at Track work
 - RGRPSH405A Ride Horses in Jump Outs
- To obtain a permit to ride in races, must have completed at least twenty (20) satisfactory trial rides as approved by the Apprentice Riding Review Panel over a three month period.
- If the applicant is not an Australian Citizen, information listed below for International applicants must also be submitted.

Indentured apprentices must submit the following to the Office of Racing Integrity at the commencement of each racing season:

- Renewal application.
- Full medical examination report showing appropriate fitness to ride.
- Next of Kin details on the prescribed form.
- Emergency Medical Information on the prescribed form.
- Completed a satisfactory annual Baseline Concussion Test.
- A Betting Information Declaration form.
- For a licence renewal, must have ridden in a minimum of 10 races over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.

Visiting Apprentice Jockey must provide the following to the Office of Racing Integrity prior to riding at any race or trial meeting in Tasmania:

- Visiting apprentice application.
- Next of Kin and Emergency Medical Information on the prescribed form.
- Permission letter from Master to ride in Tasmania including advice as to who will be in charge of the apprentice if the registered Master is not in attendance at the meeting.

Approved Trial Rider

The holder of an *Approved Trials Rider* licence may ride trackwork, jumpouts and official trials within the State of Tasmania.

An Approved Trial Rider must have previously been licensed as –

- a jockey,
- an apprentice approved to ride in races,
- a cross country rider,
- a picnic or amateur rider who has been approved to ride in races.

An Approved Trial Rider licence is issued at the discretion of the Director of Racing to a person who has:

- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- For persons over the age of eighteen (18) years, submitted a current National Criminal Record Check if required. (See above listed requirements)
- Submitted a full medical examination report showing appropriate fitness to ride.
- Submitted Next of Kin details on the prescribed form.
- Submitted Emergency Medical Information on the prescribed form. The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary.
- Been assessed by an independent medical practitioner as determined by Tasracing if the person has previously sustained a career ending injury or a major injury. (not applicable for licence renewal)
- Completed a satisfactory annual Baseline Concussion Test.
- Provided GST and EFT Details.
- For a licence renewal, submitted a Statement of Attainment for the following units if not previously provided.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, completed the training and submitted a Statement of Attainment for the following units within six (6) months of being licensed.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- Completed at least 10 satisfactory jumpouts, if determined necessary by Stewards.
- Been assessed, interviewed and passed satisfactory to ride in trials by the Office of Racing Integrity Stewards.
- If necessary, had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- If applicable, fulfil international applicant requirements.
- For a licence renewal, must have ridden in a minimum of 10 trials over the preceding 12 month period, unless special circumstances can be demonstrated to the satisfaction of the Director.

Stable Foreman

The holder of a *Stable Foreman licence* may, under the supervision or instructions of a licensed trainer, assist with his training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. Furthermore a Stable Foreman may fulfil the duties for and on behalf of a trainer during that trainer's absence. A Stable Foreman is **not permitted** to mount any registered racehorse either on the trainer's property or any registered racecourse unless also registered for trackwork riding duties.

A Stable Foreman must have held –

- A stable employees licence for a minimum of one (1) year with an Open Permit or Trainer A in the period directly preceding the application, or
- A Jockey licence, or
- A trainer's licence.

A Stable Foreman licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a Statutory Declaration with respect to Criminal History Record. (New applicants only)
- Submitted a written reference from previous employing trainer.
- For a licence renewal, submitted a Statement of Attainment for the following units if not previously provided.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, completed the training and submitted a Statement of Attainment for the following units within six (6) months of being licensed.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- Successfully completed the Australian Drug Foundation "Aware Program"
- Submitted Sensitive Information declaration.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Director).
- **If intending to perform any trackwork duties:**
 - Ticked the appropriate box on the application and lodged a medical examination form completed by a Medical Practitioner (No additional fee is applicable)
 - Undertaken a trackwork riding ability assessment to the satisfaction of Stewards. (New applicants only)

NB: The employing Trainer must satisfy the need to employ a Stable Foreman. (*While each application will be considered on its merits, as a general rule of thumb the employing trainer should have at least 8 horses in work*)

Stable Employee (Non Trackwork Rider)

The holder of a *Stable Employee (Non Trackwork) licence* may, under the supervision or instructions of a licensed trainer, assist with his training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. Stable Employees are **not permitted** to mount any registered racehorse either on the trainer's property or any registered racecourse unless also registered for trackwork riding duties.

A *Stable Employee* licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of fourteen (14) years. (Parental consent required for applicants under the age of 18 years.)
- Lodged a completed application form with the prescribed fee.
- For persons over the age of eighteen (18) years, submitted a Statutory Declaration with respect to Criminal History Record.
- Lodged a completed Stable Employee Induction Assessment form.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only if not previously provided to ORI or any other racing jurisdiction)
- For a licence renewal, submitted a Statement of Attainment for the following units if not previously provided.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, completed the training and submitted a Statement of Attainment for the following units within six (6) months of being licensed.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- Successfully completed the Australian Drug Foundation "Aware Program"

Stable Employee (Trackwork Rider)

The holder of a *Stable Employee (Trackwork Rider) Licence* may under the supervision or instructions of a licensed trainer, assist with his training, management, care and control of horses and assist with pre-race preparation of, and post-race procedures affecting a horse. The holder of this licence may also perform trackwork duties for any licensed trainer.

A *Stable Employee (Trackwork Rider) licence* is issued at the discretion of the Director of Racing to a person who has:-

- Attained the age of fourteen (14) years. (Parental consent required for applicants under the age of 18 years.)
- Lodged a completed application form with the prescribed fee.
- For persons over the age of eighteen (18) years, submitted a Statutory Declaration with respect to Criminal History Record.
- Lodged a completed Stable Employee Induction Assessment form.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only if not previously provided to ORI or any other racing jurisdiction)
- For a licence renewal, submitted a Statement of Attainment for the following units if not previously provided.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- For new applicants, completed the training and submitted a Statement of Attainment for the following units within six (6) months of being licensed.
 - RGRPSH201A Handle Horses
 - RGRCMN201A Follow OHS procedures and observe environmental work practices
- Submitted a full medical examination report showing appropriate fitness to ride. The Director of Racing reserves the right to request a medical report from an applicant at any time if considered necessary.
- Undertaken a riding ability assessment to satisfaction of Stewards. Wherever practical, this assessment should be conducted by a full time ORI Steward.

Riders' Agent

The holder of a *Riders' Agent* licence is permitted to register agreements with no more than three Jockeys inclusive of apprentice jockeys where the agreement must be with the Master, who ride regularly in Tasmania. A *Riders' Agent* shall represent only persons in respect of whom he/she has obtained specific approval of the Principal Racing Authority in Tasmania.

NB: A *Riders' Agent* shall NOT be a member or employee of Tasracing Pty Ltd, Tasmanian Racing Appeal Board or the Office of Racing Integrity.

A *Riders' Agent* licence is issued at the discretion of the Director of Racing to a person who has:

- Attained the age of eighteen (18) years.
- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a *Riders' Agent/Jockeys* agreement completed by the rider/s or apprentice master/s in respect of whom he/she seeks to be licensed.
NB: Applicants must make similar applications for the variation of his/her licence to include additional clients.
- Submitted a Statutory Declaration with respect to Criminal History Record.
- Had a successful interview with the Director of Racing. (Only required for new applicants, upgrades or other persons determined by the Panel).

Farrrier/Restricted Plating

The holder of a *Farrrier* licence may shoe and plate horses for himself or other owners or trainers.

The holder of a *Restricted Plating* licence may shoe horses owned and/or trained only by them.

A *Farrrier* or *Restricted Plating* licence is issued at the discretion of the Director of Racing to a person who has:

- Lodged a completed application form with the prescribed fee.
- Provided a Birth Certificate or an extract of same or other evidence of identity. (New applicants only)
- Submitted a Statutory Declaration with respect to Criminal History Record.
- **Farrrier:** - Provided copy of Trade Certificate or Completed Articles of Apprenticeship or competency assessed to the satisfaction of a person approved by the Office of Racing Integrity.
- **Restricted Plating:** - Provided a Letter of Competency from a qualified and licensed *Farrrier* with at least five (5) years' experience.

International Applicants

Applicants who are not the holders of Australian citizenship must provide the following with their application in addition to the requirements of the particular licence:

- Copy of passport.
- Copy of Visa which indicates permission to work in Australia.
- Clearance from the International Controlling Body where last licensed, which shows
 - the licence/s held;
 - offence history;
 - If applying for riding licence, performance record including number of winning rides.
- Full medical examination report showing appropriate fitness to ride, issued by an Australian Registered Medical Practitioner. (if necessary for particular licence)

Training Partnerships

Permission to train in partnership may be issued at the discretion of the Director of Racing to -

- (a) Two (2) trainers who have been granted a trainer's licence under **LR 15** provided that one of the trainers is licensed as Trainer A.
 - (b) Three (3) trainers who are immediate family members and who have each been granted a trainer's licence under **LR 15** provided that one of the trainers is licensed as Trainer A.
 - (c) Trainers who have permission to train in partnership:
 - Must not train any horses as individuals or in another training partnership;
 - Share all responsibilities, duties and obligations under the Rules in regard to the training of racehorses.
 - (d) An application for permission to train in a partnership shall:
 - Be in such form;
 - Provide such information; and
 - Be accompanied by such fee as is prescribed by the Director.
 - (e) The Director may:
 - Without being required to give any reasons refuse permission to train in partnership; or
 - Grant permission subject to such terms and conditions as the Director thinks fit, including but not limited to restrictions regarding duration and locality or both.
 - (f) The Director may at any time in his absolute discretion:
 - Revoke permission to train in partnership, including without limitation where any of the applicants cease to meet the requirements to be granted permission in accordance with the Policy, or where any of the training partners have been suspended or disqualified; or
 - Vary or amend any of the terms or conditions of any permission to train in partnership.
 - (g) It is a precondition of the grant of permission to train in partnership that:
 - Applicants do not hold a licence or permit to train in another racing jurisdiction, other than a licence or permit to train in partnership with each other;
 - The Stewards are satisfied as to the bona fides of the training partnership;
 - A minimum of 8 horses shall be trained in the partnership.
 - (h) The Director may in his absolute discretion relieve applicants for permission to train in partnership from the preconditions set out in this policy.
 - (i) Where there has been a breach of the Rules by any of the trainers training in partnership, then all trainers shall be deemed jointly and severally responsible and may be charged and penalised accordingly.
 - (j) Where a penalty has been imposed by another Principle Racing Authority upon any of the trainers in a training partnership, then all trainers shall be deemed jointly and severally responsible for the purposes of adoption or recognition of that penalty in accordance with these Rules.
 - (k) Sub-rules (h) and (i) do not apply where the relevant breach or penalty imposed:
 - Does not relate in any way to the training of racehorses; or
 - Involves conduct of a kind that may be subject to a penalty under AR 175(a).
- Applications must be made on the approved Office of Racing Integrity form.
 - Applicants will be required to attend an interview with the Director of Racing.

Definition of Immediate Family

- (a) A spouse, de facto partner, child, parent, grandparent, grandchild or sibling; or
- (b) A child, parent, grandparent, grandchild or sibling of a spouse or de facto partner.

PART B – LICENSING PROCEDURES

The Director of Racing considers all licence applications and may be assisted in that process by a Stipendiary Steward (preferably the Chairman of Stewards) and a Licensing & Registration representative. The Director reserves the right to interview, in person, any applicant applying for either an initial licence or renewal.

The Director also reserves the right to interview

- any licensed person at any time who has been convicted in a court of law for a misdemeanour that, in the opinion of the Director, may prejudice the image of racing; or
- a person who has been charged and found guilty by the Stewards of any offence that again, in the opinion of the Director, may be detrimental to the image of racing; or
- any licensed person, upon the recommendation of Stewards or Licensing and Registration Officer.
- **All persons** applying for a licence following the completion of a period of **disqualification** must appear, in person, for an interview before the Director.

NEW APPLICANT

- Person who is applying for a licence of any category in Tasmania for the first time; or
- Person who has been licensed previously in the thoroughbred code but not in the preceding season.

RENEWAL

- Person who was licensed with the same category of licence in the preceding racing season.

LICENSING GUIDELINES

The following guidelines should be viewed as a general rule of thumb with each applicant being assessed on their individual merits with the Director reserving the right to have any person appear for interview.

Trainers:	First time applicants or persons applying for upgrades are to be interviewed by the Director.
Jockeys:	First time applicants to be interviewed by the Director.
Apprentices:	Initial applications will be determined by the Apprentice Jockey Panel consisting of representatives of Tasracing and the Office of Racing Integrity . Indentured Apprentices are required to submit a renewal application and medical to ORI each licensing season.
Trials Rider:	To be approved by the Director of Racing via a Chairman's Book.
Stable Foreman:	Persons applying for an initial upgrade to be interviewed by the Director.
Riders Agent:	Initial applications to be interviewed by the Director.
Stablehands:	To be approved by the Director of Racing via a Chairman's Book. This should also include Transfers of Employment or Cancellation of Registration.
Farrier:	To be approved by the Director of Racing via a Chairman's Book.
Restricted Plating:	To be approved by the Director of Racing via a Chairman's Book.
Renewals:	All categories to be approved by the Director of Racing via a Chairman's Book.
Interstate Applicants:	Each interstate applicant will be assessed on their merits given the licence held and

experience obtained through the appropriate jurisdiction where the individual has been licensed.

LICENSING REVIEW GUIDELINES

All licences are subject to review, at least on an annual basis, subject to the following guidelines:

- (a) Participants who continually are found guilty of breaches of the Rules of Racing.
- (b) Trainers whose horses are not presented in a manner in which public confidence can be guaranteed.
- (c) Anyone whose actions are detrimental to the image of the industry and public perception.
- (d) Participants who have a level of activity which is not commensurate with their licence category.

The above guidelines refer to all licences, whether they are a new applicant, an upgrade or a renewal.

PERSONAL INFORMATION PROTECTION STATEMENT

You are providing personal information to the Office of Racing Integrity (ORI), which will manage that information in accordance with the *Personal Information Protection Act 2004*. The personal information collected here will be used by ORI for the purpose of processing your application for a licence and/or registration and associated purposes, pursuant to the *Racing Regulation Act 2004*, associated legislation and the Rules of Racing as adopted by Tasracing from time to time. Failure to provide this information may result in your application not being processed or records not being properly maintained. ORI may also use the information for related purposes, or disclose it to third parties in circumstances allowed for by law. You have the right to access your personal information by request to ORI and you may be charged a fee for this service.